



SanctionCheck 5 Dictionary of Defined Terms

SC5 Term	SC4 Term	Definition
Entity	Name, Vendor Name, Employee Name	A person or business. In SanctionCheck it refers to a searched individual/vendor or sanctioned individual/vendor.
Searched Entity	Searched Name	A person (Employee, Physician, etc) or business associate (Vendor). In SanctionCheck a Searched Entity is compared to multiple lists of sanctions from various Agencies to return any potential matches.
Sanctioned Entity	Sanctioned Name	A person or business identified as sanctioned by a government agency.
Searched Name	Searched Name	The Name of a searched entity. For individuals the name has at least two parts/fields: First Name and Last Name, though more can be provided. For vendors there is one field for the full Business Name.
Name Part	N/A	The base unit for the SanctionCheck search process, created by breaking an entity's full name wherever a space, hyphen or other non-letter-character occurs in the name. For example, Jane Sally Doe and Juan Mendez-Suarez both have three name-parts. Acme Spectacular Useful Services Co. has 4 name-parts (after SanctionCheck removes "Co.", one of several common terms and abbreviations ignored during searches due to their limited value in matching names).
Search Information	Additional Information	The Search Entity's Search Name (including all Name Parts) and all additional information provided by to SanctionCheck. Additional information may include location, DOB, license number, etc.
Search Date	Search Date	The date the client or SanctionCheck ServiceTeam runs a search on a Search Entity (or list of Searched Entities) to compare against existing Sanctions from various Agencies.
Sanction	Sanction, Exclusion, Termination, Debarment	An action taken by a government agency against a person or business which it deems non-compliant with law or regulation. Often the agency can levy penalties on a company employing or doing business with the sanctioned entity.
Sanctioned Entity	Sanctioned Name, Sanction Name, Sanctioned Individual	The person or business who a government agency has sanctioned.
Sanction Name	Sanction Name	The full name of a Sanctioned Entity as it is presented by the Sanctioning Agency.
Sanction Information	Additional Information	All information provided for a Sanctioned Entity by the Sanctioning Agency. Each Agency provides a different set of information and not all fields provided by an Agency are always available for each Sanction; the only information all sanction lists share is the sanction entity's name.
SanctionCheck	SanctionCheck	The best sanction and exclusion checking service available to the compliance industry!
Sanction Date	Sanction Date	The date the Agency lists as the start of the sanction. Also called Exclusion Date, Termination Date (for Termination Lists). May not coincide with the date a Sanction is published by the Agency.
End Date	End Date, Termination Date*	The date the Agency lists as the end of the sanction.



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Agency, Sanctoining Agency	Agency, Sanctioning Agency, Data Sources	The State, Federal or International governing organization that sanctions entities and has the authority to enforce those Sanctions.
Database	Database	The list of Sanctions posted by a sanctioning agency and searched by SanctionCheck. Each User and Group has a set of these Databases they may search, and each Prime List and Batch Search references a selected set of the available Databases.
Database Name	Database, Data Sources, Sanction Lists	The name of an Agency's list of Sanctions. Also called Sanction List Name. A Database can have several different titles and can also change over time. For example, In 2012 the GSA and EPLS reports were combined into SAM (System for Award Management); the OIG sanction list is also known as LEIE (List of Excluded Individuals and Entities).
DatabaseID	DatabaseID	The internal 3- or 4- letter code assigned and used by SanctionCheck to refer to specific Databases
Employee	Employee	In SanctionCheck Employee refers to any searched person. First Name and Last Name must be provided when searching for an Employee.
Vendor	Vendor	In SanctionCheck Vendor refers to any searched business. Unlike an Employee, a Vendor has a single complex name (Like "Best Car Deals, Inc.").
Single Search	Single Search	The SanctionCheck search tool that allows users to search for sanctions against one individual Employee (person) or Vendor (business) at a time and to review the results immediately.
Batch Search	Batch Search	Process of running a search in SanctionCheck on a list of entities. There are 3 steps involved: 1) Upload to a Prime List, 2) Run the Prime List to create a Batch, and 3) Review the Batch
Prime List		A named list of individuals or vendors you want to check for Sanctions on a regular basis. A Prime List is used to create a Batch Search. It is created one time and used (updated, run, reviewed) every reporting cycle.
Prime List Entity		A person or business included in a Prime List.
Prime List Information		The information included for a Prime List Entity. This must always include Name information.
Prime List Name		The name you have assigned to a Prime List. It should clearly indicate the type of information you will load every reporting cycle.
PrimeID		Unique numeric identifier assigned to a Prime List by SanctionCheck and included to identify every Batch Search created from it.
Schedule Date		The date a Prime List is scheduled to run next. Scheduling is optional, and is set on the Edit Prime List Page's Details tab. SanctionCheck sends an email five days prior to the scheduled date as a reminder to update the Prime List with the most recent data.
Prime List Last Run Date		The date a Prime List was last run to create a Batch Search.



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Prime List Databases		The set of Sanction Lists (Databases) assigned to a Prime List and passed to every Batch Search that is run from that Prime List.
Batch Search	Batch	The combined Search Information and Search Results for a list of entities submitted via a Prime List.
Master Batch	Master Batch	The most recently completed Batch associated with a Prime List. When a new batch is created from a Prime List the system automatically finds the previous Master Batch and uses it to rule out automatically all sanctions that have been ruled out before.
Batch Search Entity	Searched Name	Search Entity listed in a record of a Batch Search
Batch Sanction Entity	Sanctioned Name	Sanctioned Entity returned as a potential match for a Search Entity in a Batch.
BatchID		Unique numeric identifier created by SanctionCheck for every Batch Search.
Batch Name		Name assigned to a Batch when it is run from a Prime List. For example, each monthly search within a Prime List can be assigned a unique Batch Name.
Batch Search Date		Date a Batch was created from a Prime List.
Batch Databases		The set of Sanction Lists (Databases) selected for the associated Prime List that is searched against during a Batch Run.
Search Results		The set of all sanctions that are potential matches for the Search Entity(ies) in a Single Search or Batch Search.
Batch Results Page		Page displaying the list of Search Entities included in a Batch. By default the page is filtered to show only search entities that are Pending Review and therefore need to be reviewed.
Review Page	Results Page	Page displaying the sanctions associated with one Search Entity (e.g., potential match Sanctions for a single Employee name). By default the page is filtered to shown only sanctions that are Pending Review and therefore need to be reviewed.
Review Detail Page		Page that can be opened from the Review Page which provides all available information for a Sanction. This page also includes links to the Sanctioning Agency website and to the NPI website.
Status	Status	Label describing the relationship between a Search Entity and a Sanction or set of Sanctions. SanctionCheck assigns the intital Status during the search process (Pending Review) and the user changes the Status druing the review process.
Sanction Status	Status	The Status of a Sanction returned by SanctionCheck as a likely match with the Searched Entity.
System Rule-Out (Sanction Status)	Ruled out	Status indicating that a Search Entity had at least one potential Sanction but all potential Sanctions were Ruled Out by the system during the Batch Search process - either because DOB and/or NPI values did not match or because the Sanction(s) had been previously ruled out in the Master Batch.
Master Rule-out (Sanction Status)	Ruled out	Status indicating that the Sanction Entity which was a Potential Match was ruled out because it was previously ruled out in the Master Batch.
Pending Review (Sanction Status)	Pending Review	Status indicating that a Sanction Entity may be a match for a Search Entity
Ruled Out (Sanction Status)	Ruled Out	Status indicating that a Sanction Entity which was a Potential Match has been Ruled out by a reviewer.



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Flagged Match (Sanction Status)		Status indicating that a Sanction Entity which was a potential match cannot initially be ruled out by the reviewer and is marked for further review.
Confirmed Match (Sanction Status)		Status indicating that a Sanction Entity has been deemed by the reviewer or the reviewer's company to be a true match to a Search Entity.
Search Entity Status	Status	The Status of a Search Entity relative to all the Sanctions that are returned as Potential Matches to it.
No Match (Search Entity Status)	No Match	Status indicating that the Search Entity had no Sanctions returned as Potential Matches during a search. Applies to Search Entity Status.
Flagged Match (Search Entity Status)		Status indicating that a Search Entity has at least one potential sanction that a reviewer changed to Flagged Match status and no potential sanction has been changed to Confirmed Match.
Pending Review (Search Entity Status)	Pending Review	Status indicating that a Search Entity has at least one Sanction that is a Potential Match and needs to be reviewed.
Ruled Out Status (Search Entity)	Ruled Out	Status indicating that a Search Entity had at least one potential Sanction but all potential Sanctions have been Ruled Out by a reviewer.
Confirmed Match (Search Entity Status)		Status indicating that a Search Entity has at least one Confirmed Sanction Entity associated with it.
Batch Status		Status listing the state of work completed or pending for a Batch searched within a Prime List.
Queued (Batch Status)		Status indicating that a Batch has been created via a Prime List and is waiting for Batch Search processing to begin
Running (Batch Status)		Status indicating that a Batch is in the process of being searched.
Pending Review (Batch Status)		Status indicating that a Batch has at least one search entity still in Pending Review status.
Further Review (Batch Status)		Status indicating that a Batch has no search entities still in Pending Review status but does have at least one entity with a Flagged Match status.
Complete (Batch Status)		Status indicating that a Batch either 1) has no potential sanctions returned during the Batch Search process or 2) has no search entities still in Pending Review or in Flagged Match status.
Failed (Batch Status)		Status indicating that a Batch Search could not be completed.